

**Issued by the  
UNITED STATES DISTRICT COURT  
NORTHERN DISTRICT OF ALABAMA**

United States of America

V.

Jefferson County, et al.

**SUBPOENA IN A CIVIL CASE**CASE NUMBER<sup>1</sup> CV-75-S-666-S

To David Shockey  
118 Hartington Drive  
Madison, AL 35758

☒ YOU ARE COMMANDED to appear in the United States District Court at the place, date, and time specified below to testify in the above case

PLACE OF TESTIMONY

Hugo Black United States Courthouse  
1729 5th Avenue North  
Birmingham, AL 35203

COURTROOM

5B

DATE AND TIME

December 3, 2012 9 30 AM, day to day  
until completed

☐ YOU ARE COMMANDED to appear at the place, date, and time specified below to testify at the taking of a deposition in the above case. The testimony will be recorded by the following method(s)

☐ stenographic, ☐ sound, ☐ sound and visual

PLACE OF DEPOSITION

DATE AND TIME

☐ YOU ARE COMMANDED to produce and permit inspection and copying of the following documents or objects at the place, date and time specified below (list documents or objects)

PLACE

DATE AND TIME

☐ YOU ARE COMMANDED to permit inspection of the following premises at the date and time specified below

PREMISES

DATE AND TIME

Any organization not a party to this suit that is subpoenaed for the taking of a deposition shall designate one or more officers, directors, or managing agents, or other persons who consent to testify on its behalf, and may set forth, for each person designated, the matters on which the person will testify. Federal Rules of Civil Procedure, 30(b)(6)

ISSUING OFFICER'S SIGNATURE AND TITLE (INDICATE IF ATTORNEY FOR PLAINTIFF OR DEFENDANT)

DATE

*Lauren M. Rosenberg*  
Attorney for Martin/Bryant Parties

October 16, 2012

ISSUING OFFICER'S NAME, ADDRESS AND PHONE NUMBER

Lauren M. Rosenberg, Cravath, Swaine & Moore LLP, Worldwide Plaza, 825 8th Avenue, NY, NY 10019  
(212) 474-1716

(See Rule 45 Federal Rules of Civil Procedure, Subdivisions (c), (d), and (e) on next page)

<sup>1</sup> If action is pending in district other than district of issuance, state district under case number

**PROOF OF SERVICE**

<b>SERVED</b>	
DATE	PLACE
SERVED ON (PRINT NAME)	MANNER OF SERVICE
SERVED BY (PRINT NAME)	TITLE

**DECLARATION OF SERVER**

I declare under penalty of perjury under the laws of the United States of America that the foregoing information contained in the Proof of Service is true and correct

Executed on

DATE

SIGNATURE OF SERVER

ADDRESS OF SERVER

Federal Rule of Civil Procedure 45 (c), (d), and (e), as amended on December 1, 2007:

**(c) PROTECTING A PERSON SUBJECT TO A SUBPOENA**

(1) Avoiding Undue Burden or Expense, Sanctions A party or attorney responsible for issuing and serving a subpoena must take reasonable steps to avoid imposing undue burden or expense on a person subject to the subpoena. The issuing court must enforce this duty and impose an appropriate sanction — which may include lost earnings and reasonable attorney's fees — on a party or attorney who fails to comply.

**(2) Command to Produce Materials or Permit Inspection**

(A) Appearance Not Required A person commanded to produce documents, electronically stored information, or tangible things, or to permit the inspection of premises, need not appear in person at the place of production or inspection unless also commanded to appear for a deposition, hearing, or trial.

(B) Objections A person commanded to produce documents or tangible things or to permit inspection may serve on the party or attorney designated in the subpoena a written objection to inspecting, copying, testing or sampling any or all of the materials or to inspecting the premises — or to producing electronically stored information in the form or forms requested. The objection must be served before the earlier of the time specified for compliance or 14 days after the subpoena is served. If an objection is made, the following rules apply:

(i) At any time, on notice to the commanded person, the serving party may move the issuing court for an order compelling production or inspection.

(ii) These acts may be required only as directed in the order, and the order must protect a person who is neither a party nor a party's officer from significant expense resulting from compliance.

**(3) Quashing or Modifying a Subpoena**

(A) When Required On timely motion, the issuing court must quash or modify a subpoena that

(i) fails to allow a reasonable time to comply,

(ii) requires a person who is neither a party nor a party's officer to travel more than 100 miles from where that person resides, is employed, or regularly transacts business in person — except that, subject to Rule 45(c)(3)(B)(iii), the person may be commanded to attend a trial by traveling from any such place within the state where the trial is held,

(iii) requires disclosure of privileged or other protected matter, if no exception or waiver applies, or

(iv) subjects a person to undue burden.

(B) When Permitted To protect a person subject to or affected by a subpoena, the issuing court may, on motion, quash or modify the subpoena if it requires

(i) disclosing a trade secret or other confidential research, development, or commercial information,

(ii) disclosing an unretained expert's opinion or information that does not describe specific occurrences in dispute and results from the expert's study that was not requested by a party, or

(iii) a person who is neither a party nor a party's officer to incur substantial expense to travel more than 100 miles to attend trial.

(C) Specifying Conditions as an Alternative In the circumstances described in Rule 45(c)(3)(B), the court may, instead of quashing or modifying a subpoena, order appearance or production under specified conditions if the serving party

(i) shows a substantial need for the testimony or material that cannot be otherwise met without undue hardship, and

(ii) ensures that the subpoenaed person will be reasonably compensated.

**(d) DUTIES IN RESPONDING TO A SUBPOENA**

(1) Producing Documents or Electronically Stored Information These procedures apply to producing documents or electronically stored information.

(A) Documents A person responding to a subpoena to produce documents must produce them as they are kept in the ordinary course of business or must organize and label them to correspond to the categories in the demand.

(B) Form for Producing Electronically Stored Information Not Specified If a subpoena does not specify a form for producing electronically stored information, the person responding must produce it in a form or forms in which it is ordinarily maintained or in a reasonably usable form or forms.

(C) Electronically Stored Information Produced in Only One Form The person responding need not produce the same electronically stored information in more than one form.

(D) Inaccessible Electronically Stored Information The person responding need not provide discovery of electronically stored information from sources that the person identifies as not reasonably accessible because of undue burden or cost. On motion to compel discovery or for a protective order, the person responding must show that the information is not reasonably accessible because of undue burden or cost. If that showing is made, the court may nonetheless order discovery from such sources if the requesting party shows good cause, considering the limitations of Rule 26(b)(2)(C). The court may specify conditions for the discovery.

**(2) Claiming Privilege or Protection**

(A) Information Withheld A person withholding subpoenaed information under a claim that it is privileged or subject to protection as trial-preparation material must

(i) expressly make the claim, and

(ii) describe the nature of the withheld documents, communications, or tangible things in a manner that, without revealing information itself privileged or protected, will enable the parties to assess the claim.

(B) Information Produced If information produced in response to a subpoena is subject to a claim of privilege or of protection as trial-preparation material, the person making the claim may notify any party that received the information of the claim and the basis for it. After being notified, a party must promptly return, sequester, or destroy the specified information and any copies it has, must not use or disclose the information until the claim is resolved, must take reasonable steps to retrieve the information if the party disclosed it before being notified, and may promptly present the information to the court under seal for a determination of the claim. The person who produced the information must preserve the information until the claim is resolved.

(e) CONTEMPT The issuing court may hold in contempt a person who, having been served, fails without adequate excuse to obey the subpoena. A nonparty's failure to obey must be excused if the subpoena purports to require the nonparty to attend or produce at a place outside the limits of Rule 45(C)(3)(A)(i).

## AFFIDAVIT OF SERVICE

State of ALABAMA

County of NORTHERN DISTRICT

United States District Court Court

Case Number: CV-75-S-666-S

Plaintiff:

UNITED STATES OF AMERICA

vs.

Defendant:

JEFFERSON COUNTY ET AL

For: Managing Attorney's

CRAVATH, SWAINE & MOORE LLP

Received by Pronto Process on the 17th day of October, 2012 at 11:01 am to be served on **DAVID SHOCKEY, 118 HARTINGTON DRIVE, MADISON, AL 35758** I, MICHELE WALLACE being duly sworn, depose and say that on the 20<sup>th</sup> day of OCT, 2012 at 8:01 a.m., executed service by delivering a true copy of the **Subpoena** in accordance with state statutes in the manner marked below:

☒ INDIVIDUAL SERVICE: By Personally delivering the documents to :  
DAVID SHOCKEY Described to be M (Sex) 50 (Approx age) 6'2" (Height) 225 LB (Approx Weight) BLOND (Hair color) RED

( ) SUBSTITUTE SERVICE. By serving \_\_\_\_\_ as \_\_\_\_\_

( ) POSTED SERVICE: After attempting service on \_\_\_/\_\_\_ at \_\_\_ and on \_\_\_/\_\_\_ at \_\_\_ to a conspicuous place on the property described herein

( ) NON SERVICE For the reason detailed in the Comments below.

Military Status. ( ) Yes or ( ) No If yes, what branch? \_\_\_\_\_

Marital Status: ( ) Married or ( ) Single Name of Spouse \_\_\_\_\_

### COMMENTS:

I certify that I have no interest in the above action, am of legal age and have proper authority in the jurisdiction in which this service was made.

Subscribed and Sworn to before me on the 20<sup>th</sup> day of October, 2012 by the affiant who is personally known to me.

Vina Rich  
NOTARY PUBLIC

MY COMMISSION EXPIRES 6-25-2014

Michele Wallace  
PROCESS SERVER # \_\_\_\_\_  
Appointed in accordance with State Statutes

Pronto Process  
P O Box 7819  
San Antonio, TX 78207  
(210) 226-7192

Our Job Serial Number: 2012005473